



## CHARTERED BANKER INSTITUTE

This is to certify that

**Shaun Rose**

has passed the examinations prescribed  
by the Board of Trustees of the Institute  
and has this day been awarded

**Professional Banking Fundamentals**

**PASS WITH MERIT**

President  
of FINSIA

Chief Executive  
of FINSIA

President  
of Chartered Banker Institute

Chief Executive  
of Chartered Banker Institute

Date of Issue: 04/11/2019

Membership Number: PQ1311340

# Certificate of Completion

This is to certify that

**Shaun Rose**

has successfully completed the subject

## Tier 2 Personal Advice Deposit Products – Knowledge only

To complete Kaplan's Tier 2 course and provide Personal Advice in Deposit Products the following subjects must be completed:

- Foundations of the Financial Services Industry
- Deposit Products General Advice – Knowledge Only
- Deposit Products Personal Advice – Knowledge Only
- Adviser Skills (Workplace Validation Report).

This certificate does not authorise an individual to provide general advice. Authorisation is the responsibility of an Australian Financial Services Licensee and is solely at their discretion.



**Rob Regan**

Managing Director, Kaplan Professional

June 13, 2018

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## Certificate of Completion

This is to certify that

**Shaun Rose**

has successfully completed the subject

### Tier 2 General Advice Deposit Products – Knowledge only

To complete Kaplan's Tier 2 course and provide General Advice in Deposit Products the following subjects must be completed:

- Foundations of the Financial Services Industry
- Deposit Products General Advice – Knowledge Only
- Adviser Skills (Workplace Validation Report).

This certificate does not authorise an individual to provide general advice. Authorisation is the responsibility of an Australian Financial Services Licensee and is solely at their discretion.



**Rob Regan**

Managing Director, Kaplan Professional

June 13, 2018  
8dhhVuW52

## Certificate of Completion

This is to certify that

**Shaun Rose**

has successfully completed the subject

### Tier 2 Foundations of the financial services industry

This Certificate of Completion confirms the completion of this subject only. To complete Kaplan's Tier 2 Programs the General Insurance and/or Deposit Products and Adviser Skills (Workplace Validation Report) subject(s) will also need to be completed.

This certificate does not authorise an individual to provide general or personal advice. Authorisation is the responsibility of an Australian Financial Services Licensee and is solely at their discretion.



**Rob Regan**  
Managing Director, Kaplan Professional

June 13, 2018  
tmBAYeVBJE

## Certificate of Completion

This is to certify that

**Shaun Rose**

has successfully completed the subject

### Tier 2 General Advice General Insurance – Knowledge only

To complete Kaplan's Tier 2 course and provide General Advice in General Insurance the following subjects must be completed:

- Foundations of the Financial Services Industry
- General Insurance General Advice – Knowledge Only
- Adviser Skills (Workplace Validation Report).

This certificate does not authorise an individual to provide general advice. Authorisation is the responsibility of an Australian Financial Services Licensee and is solely at their discretion.



**Rob Regan**  
Managing Director, Kaplan Professional

June 13, 2018  
AJ35Rw3III

## Statement of Attainment

This is to certify that

**Shaun Rose**

has attained

FNSIAD301	Provide general advice on financial products and services
FNSASIC301	Establish client relationship and analyse needs
FNSASIC302	Develop, present and negotiate client solutions
FNSASIC304	Provide Tier 2 general advice in general insurance

A Statement of Attainment is issued by a Registered Training Organisation when an individual has completed one or more accredited units.

  
Rob Regan  
Managing Director

**Certificate No: KPC-000896099**

Date of Original Issue: August 14, 2018

## ACADEMIC TRANSCRIPT

Student ID: 5522056

SHAUN BENJAMIN ROSE

78 Wilfred Street  
Bargara QLD 4670  
Australia

**SHAUN BENJAMIN ROSE**

### FNS40104-Certificate IV in Financial Services

Year	Unit Code	Unit Title	Mark	Grade
2006				
TAFE Teaching Period 3				
	FCADV501A	Provide Appropriate Services, Advice and Products to Clients	**	CM
	FSIC301AZ	Establish Client Relationship and Analyse Needs	**	CM
	FSIC302AZ	Develop, Present and Negotiate Client Solutions	**	CM



Emma Lincoln  
Registrar

Date of Issue: 22 August 2016 Student ID: 5522056 Page 1 of 1



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